

REGISTRATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roy Curtiss, III
Steven A. TingeAdditional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: 1/22/97

2. Name and address of receiving party(ies):

Name: Washington University

Internal Address: _____

Street Address: 724 South Euclid Avenue

City: St. Louis State: MO ZIP: 63110

Additional name(s) & address(es) attached? ☐ Yes ☐ No03807 U.S. PTO
10/62077

07/15/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/761,769

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patrea L. Pabst, Esq.

Internal Address: 2800 One Atlantic Ctr.

Street Address: 1201 W. Peachtree St.

City: Atlanta State: GA ZIP: 30309-3450

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

01-2507

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrea L. Pabst

Name of Person Signing

Signature

Date

Total number of pages comprising cover sheet:

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Recombinant Bacterial Vaccine System with Environmentally Limited Viability

the specification of which (check one)

_____ is attached hereto

 x was filed on December 6, 1996
as application Serial No. 08/761,769

_____ and was amended on: _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Claimed
<u>PCT/US96/09774</u>	<u>PCT</u>	<u>06/07/96</u>	<u>X</u>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<u> </u>
(Number)	(Country)	(Day/Month/Year Filed)	
<u> </u>	<u> </u>	<u> </u>	<u> </u>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>08/473,789</u>	<u>06/07/95</u>	<u>Pending</u>
(Application Serial No.)	(Filing Date)	Status
		(patented, pending, abandoned)

ASSIGNMENT

I, Steven A. Tinge of 52 Lindenleaf Lane, Belleville, Illinois 62223, in consideration of Five Dollars and other valuable consideration paid to me by Washington University, an entity of the State of Missouri having its principal place of business at St. Louis, Missouri, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto Washington University, its successors and assigns, the entire interest for the United States of America and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Recombinant Bacterial System with Environmentally Limited Viability" described in U.S. Serial No. 08/761,769 filed December 6, 1996 by Roy Curtiss, III and Steven A. Tinge, in the United States Patent and Trademark Office, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, reissue or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And I do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

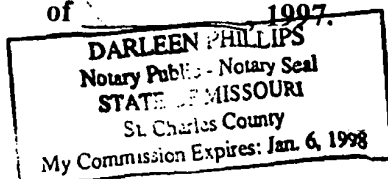
WITNESS my hand and seal this 22 day of Jan, 1997.

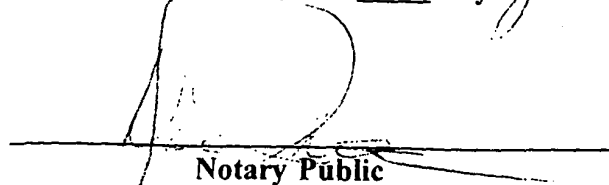

Steven A. Tinge

State of
County of

} ss.

Then personally appeared the above named Steven A. Tinge and acknowledged the foregoing instrument to be his free act and deed, before me, this 22 day of January of 1997.




Notary Public

ASSIGNMENT

JRC
I, Roy A. Curtiss of 6065 Lindell Blvd., St. Louis, Missouri 63112-1009, in consideration of Five Dollars and other valuable consideration paid to me by Washington University, an entity of the State of Missouri having its principal place of business at St. Louis, Missouri, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto Washington University, its successors and assigns, the entire interest for the United States of America and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in "Recombinant Bacterial System with Environmentally Limited Viability" described in U.S. Serial No. 08/761,769 filed December 6, 1996, by Roy Curtiss, III and Steven A. Tinge, in the United States Patent and Trademark Office, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuation, continuation-in-part, reissue or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said corporation and its successors and assigns but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And I do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 22nd day of January, 1997.

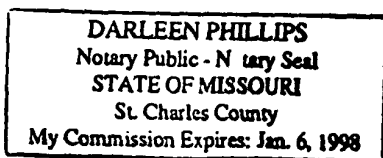
Roy Curtiss, III

Roy Curtiss, III

State of Missouri
County of

} ss.

Then personally appeared the above named Roy Curtiss and acknowledged the foregoing instrument to be his free act and deed, before me, this 22 day of January, 1997.



Darleen Phillips

Notary Public

U.S.S.N. 08/761,769
Filed: December 6, 1996
DECLARATION

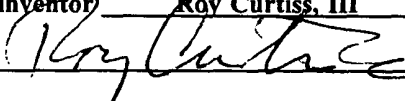
As named inventor, I hereby appoint the following attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

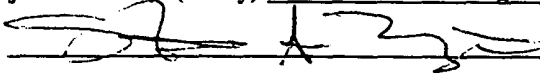
Patrea L. Pabst 31,284

Send Correspondence to: Patrea L. Pabst, Esq.
ARNALL GOLDEN & GREGORY
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1201 W. Peachtree Street
Atlanta, GA 30309-3450

Direct telephone calls to: Patrea L. Pabst (404)873-8794

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Roy Curtiss, III
Inventor's signature  Date 1-22-97
Residence 6065 Lindell Blvd, St. Louis, MO 63112-1009
Citizenship United States
Post Office Address Same as above

Full name of second joint inventor (if any) Steven A. Tinge
Inventor's signature  Date 1-22-97
Residence 52 Lindenleaf Lane, Belleville, IL 62223
Citizenship United States
Post Office Address Same as above